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PTO/SB/05 (08-00)
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

003115.P002XD2

First Inventor

Kim Y. Kao

Title

METHOD AND APPARATUS FOR SELECTING, MONITORING, AND

Express Mail Label No.

EL466330533US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 33]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 14]

5. Oath or Declaration [Total Pages 3]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Copy of Small Entity Declaration filed in parent.....
application.....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/088,213

Prior application Information: Examiner K. Schuster Group/Art Unit: 2182

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

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Name (Print/Type)

William W. Schaal

Registration No. (Attorney/Agent)

39,018

Signature

Date

11/07/00

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Complete if Known

Application Number
Filing Date November 7, 2000
First Named Inventor Kim Y. Kao
Examiner Name K. Schuster
Group/Art Unit 2182
Attorney Docket No. 003115.P002XD2

948 U.S. PTO
09/10/00
11/07/00

TOTAL AMOUNT OF PAYMENT (\$)

373.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☒ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	710	355			Utility filing fee	355.00
106	206	320	160			Design filing fee	
107	207	490	245			Plant filing fee	
108	208	710	355			Reissue filing fee	
114	214	150	75			Provisional filing fee	

SUBTOTAL (1) (\$)

355.00

2. EXTRA CLAIM FEES

Total Claims 21 - 20** = 1 X 9.00 = \$9.00
Independent Claims 3 - 3** = 0 X 40.00 =

Multiple Dependent

**or number previously paid, if greater, For Reissues, see below

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	203	18	9			Claims in excess of 20
102	202	80	40			Independent claims in excess of 3
104	204	130	135			Multiple Dependent claim, if not paid
109	209	80	40			**Reissue independent claims over original patent
110	210	18	9			**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

18.00

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	65			Surcharge - late filing fee or oath	
127	227	50	25			Surcharge - late provisional filing fee or cover sheet.	
139	239	130	130			Non-English specification	
147	247	2,520	2,520			For filing a request for reexamination	
112	212	920*	920*			Requesting publication of SIR prior to Examiner action	
113	213	1,840*	1,840*			Requesting publication of SIR after Examiner action	
115	215	110	55			Extension for response within first month	
116	216	390	195			Extension for response within second month	
117	217	890	445			Extension for response within third month	
118	218	1,390	695			Extension for response within fourth month	
128	228	1,890	945			Extension for response within fifth month	
119	219	310	155			Notice of Appeal	
120	220	310	155			Filing a brief in support of an appeal	
121	221	270	135			Request for oral hearing	
138	238	1,510	1,510			Petition to institute a public use proceeding	
140	240	110	55			Petition to revive - unavoidable	
141	241	1,240	620			Petition to revive - unintentional	
142	242	1,240	620			Utility issue fee (or reissue)	
143	243	440	220			Design issue fee	
144	244	600	300			Plant issue fee	
122	222	130	130			Petitions to the Commissioner	
123	223	50	50			Petitions related to provisional applications	
126	226	240	240			Submission of Information Disclosure Stmt	
581	281	40	40			Recording each patent assignment per property (times number of properties)	
146	246	710	355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	710	355			For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	710	355			Request for Continued Examination (RCE)	
169	269	900	900			Request for expedited examination of a design application	

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type) William W. Schaal

Registration No. (Attorney/Agent)

39,018

Telephone (714) 557-3800

Signature

Date

11/07/00

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